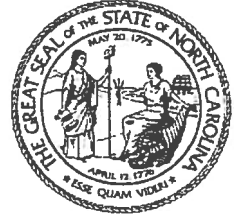


North Carolina Department of Labor

Occupational Safety and Health Division
1101 Mail Service Center
Raleigh, NC 27699
Phone: 919-779-8570 FAX: 919-420-7966



Citation and Notification of Penalty

To:
UNC AT CHAPEL HILL
1120 Estes Drive Extension, Campus Box 1
Chapel Hill, NC 27599

Inspection Number: 318107984
CSHO ID: F1857
Optional Report No.: 007-18
Inspection Date(s): 5/25/2017
Issuance Date: 7/17/2017

Inspection Site:
501 W Cameron Avenue
Chapel Hill, NC 27599

The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

This Citation and Notification of Penalty describes violation(s) of the labor laws of Chapter 95 of the North Carolina General Statutes. The penalty(ies) listed herein is (are) based on these violations. You must correct the violation(s) referred to in this citation by the date(s) listed and pay the penalty proposed, unless within 15 working days (excluding weekends and holidays) from receipt of this Citation and Notification of Penalty, you mail a request for an informal conference or Notice of Contestment to the North Carolina Department of Labor district office at the address shown above.

Posting - The law requires that a copy of this Citation and Notification of Penalty be posted immediately in a prominent place at or near the location of the violation(s) cited herein, or, if it is not practicable because of the nature of the employer's operations, where it will be readily observable by all affected employees. This Citation must remain posted until the violation(s) cited herein has (have) been abated, or for 3 working days (excluding weekends and State holidays), whichever is longer. **The penalty dollar amounts need not be posted and may be marked out or covered up prior to posting.**

Informal Conference - You may request an informal conference. To request an informal conference, you must return the enclosed form marking the block requesting an informal conference or provide other written notice to the district supervisor within 15 working days of receipt of the citation. **Do not mark more than one block on the enclosed form if you want an informal conference.** The informal conference must be held no longer than 20 working days from the date you receive the Citation and Notification of Penalty, so your immediate response is necessary if you choose this option.

If you make a timely request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you preserve your right to contest. The time for contestment does not begin until

after the informal conference is held and you have been notified of the result(s). At that time you have an additional 15 working days during which you may file a notice of contest, should you so desire.

If you decide to request an informal conference, obtain the date, time and location of the informal conference by contacting the District Supervisor, then complete and post the enclosed Notice to Employees near the Citation and Notification of Penalty. Please bring to the conference all supporting documentation of existing conditions and any corrections made thus far. The results of the informal conference will be a revised citation, a notice of no change or an informal settlement agreement. An informal settlement agreement will resolve the matter without litigation or contestment.

Right to Contest - You have the right to contest this Citation and Notification of Penalty now or after an informal conference. You may contest all citation items or only individual items. You may also contest proposed penalties and/or abatement dates without contesting the underlying violations.

15 working days after you receive this Citation and Notification of Penalty (if you do not request an informal conference) or 15 working days after you receive the results of the informal conference, the citation(s) and/or proposed penalty(ies) will become a final order of the North Carolina Occupational Safety and Health Review Commission and may not be reviewed by any court or agency, unless you file a notice of contestment.

Penalty Payment - Penalties are due within 15 working days of receipt of this notification unless a request for an informal conference or notice of contestment is forwarded. Make your check or money order payable to the North Carolina Department of Labor, OSHA Division and remit to the Budget Division at 1101 Mail Service Center, Raleigh, NC 27699-1101. Pay online at www.nclabor.com. We accept VISA, MasterCard, and E-Checks. You may also fax your payments to (919) 715-9094, or mail it to: N.C. Department of Labor, Budget and Management Division, 1101 Mail Service Center, Raleigh NC 27699-1101. You may also make payment by credit card. A form is provided for your convenience. Please indicate the inspection number on the remittance.

Pursuant to N.C. Gen. Stat. Section 147-86.23 interest shall be charged at the rate of five percent (5%) per year on a past-due account receivable from the date the account receivable was due until it is paid. In addition, a late payment penalty of ten percent (10%) of the account receivable shall be added to a past-due account receivable. A late-payment penalty may be waived for good cause shown.

The North Carolina Department of Labor does not agree to any restriction or conditions or endorsements you put on any check or money order for less than the full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Notification of Corrective Action - For violations which you do not contest, you should return the OSHA Form 2D (Certification of Abatement) within ten (10) calendar days after the abatement date, to indicate that appropriate corrective action has been taken within the time frame set forth in this citation. You are not required to certify abatement if the inspector observed the abatement during the on-site portion of the inspection or observes within 24 hours after identifying the hazard and notes in the citation that abatement has occurred. The certification that abatement is complete must include, for each cited violation, the date and method of abatement and a statement that affected employees and their representatives have been informed of the abatement.

In addition, for repeat, willful and other serious violations as indicated, additional documents demonstrating that abatement is complete is required. This additional documentation may include, but is not limited to, evidence of the purchase or repair of equipment, photographic or video evidence of abatement or other written records.

If indicated in the citation, you may be required to submit an abatement plan for each cited violation, when time permitted for abatement is more than 90 calendar days. The plan must identify the violation and the steps to be taken to achieve abatement, including a schedule for completing abatement and how employees will be protected from exposure to the violative condition in the interim until abatement is complete. An employer who submits an abatement plan may be required to also submit periodic progress reports for each cited violation. The citation will indicate whether progress plans are due and the date(s) when the progress reports are due.

The employer must include, in each submission required by this notification, company name and address, inspection number, citation and item numbers, a statement that the information submitted is accurate, and a signature of the employer or the employer's authorized representative. The date of the postmark is the date of submission for mailed documents.

Employee Notification of Corrective Action - The employer must inform affected employees and their representative(s) about abatement activities, covered by the citations, by posting a copy of each document submitted to the North Carolina Department of Labor, or a summary of the document near the place where the violation occurred, or where it will be readily observable. The employer must inform employees of their right to examine and copy all abatement documents submitted. Posted documents must remain posted for three working days after submission to the Department.

Employer Discrimination Unlawful - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 180 days after the discrimination occurred with the NC Department of Labor at the address shown above.

Notice to Employees - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be in writing and signed. It must be mailed to the North Carolina Department of Labor at the address shown above and postmarked within 20 days of the receipt by the employer of this Citation and Notification of Penalty.

Notice to Employer - Enclosed are citations and proposed penalties levied against your facility resulting from the Division of Occupational Safety and Health's compliance inspection of your facility. The penalty for each violation has been reduced depending on your facility's size, good faith and history.

CREDIT CARD PAYMENT

Complete and return to:
North Carolina Department of Labor
Budget and Management Division
1101 Mail Service Center
Raleigh, NC 27699-1101
Telephone: (919) 733-7426
Fax: (919) 733-6197

Name: _____
Address: _____
Phone #: _____
Amount: \$ _____ (circle one) Mastercard Visa
Name (as it appears on credit card): _____
Credit Card Number: _____ Exp. Date: _____
Mo./Yr.
Cardholder Signature: _____ Date: _____
Payment for Invoice Nos.: _____

Pay online at www.nclabor.com. We accept VISA, MasterCard, and E-Checks. You may also fax your payments to (919) 715-9094, or mail it to: N.C. Department of Labor, Budget and Management Division, 1101 Mail Service Center, Raleigh, NC 27699-1101.

North Carolina Department of Labor
Occupational Safety and Health Division

THIS FORM IS FOR YOUR CONVENIENCE IN REQUESTING AN INFORMAL CONFERENCE OR FOR CONTESTING PART OR ALL OF THE ENCLOSED CITATION(S). THE FORM OR YOUR REQUEST FOR AN INFORMAL CONFERENCE OR NOTICE TO CONTEST MUST BE POSTMARKED WITHIN 15 WORKING DAYS OF RECEIPT OF THE CITATION(S).

UNC AT CHAPEL HILL
1120 Estes Drive Extension, Campus Box 1
Chapel Hill, NC 27599

Inspection Number 318107984

Please mark only one of the following boxes and return to the address indicated below. Forms returned with more than one box marked will be treated as a request for an informal conference.

PENALTY PAYMENT ENCLOSED IN THE AMOUNT OF \$ _____

Remit to: NC Department of Labor
ATTN: Budget - Collections
1101 Mail Service Center
Raleigh, NC 27699-1101

Pay online at www.nclabor.com. We accept MasterCard, VISA, E-Checks. You may also fax your payment to (919) 715-9094, or mail it to: N.C. Department of Labor, Budget and Management Division, 1101 Mail Service Center, Raleigh, NC 27699-1101.

REQUEST AN INFORMAL CONFERENCE (YOUR RIGHT TO CONTEST IS RESERVED PENDING THE RESULTS OF THE INFORMAL CONFERENCE)

NOTICE OF CONTEST OF CITATION(S) AND/OR PROPOSED PENALTY

Contest of Violation(s) Date(s)/Abatement Dates(s) _____

Contestment of Penalty Amount(s) _____

Return to: NC Department of Labor
Division of Occupational Safety and Health
Attention: District Supervisor
1101 Mail Service Center
Raleigh, NC 27699
Tel: 919-779-8570 Fax: 919-420-7966

Signature: Mary Beth Koza Name (Print): Mary Beth Koza

Title: Director-EHS Email Address: mbkoza@ehs.unc.edu

Telephone No.: (919) 843-5913 Fax No.: (919) 962-0227 Date: 7-20-2017

North Carolina Department of Labor
Occupational Safety and Health Division

NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with OSHA to discuss the citation(s) issued on 7/17/2017. The conference will be held at the OSHA office located at Division of Occupational Safety and Health, 1101 Mail Service Center, Raleigh, NC 27699 on 7/31/2017 at 11:00 AM. Employees and/or representatives of employees have a right to attend an informal conference.

North Carolina Department of Labor
OSH Compliance Bureau
3801 Lake Boone Trail
Raleigh, NC 27607

North Carolina Department of Labor
Occupational Safety and Health Division
1101 Mail Service Center
Raleigh, NC 27699
Phone: 919-779-8570 FAX: 919-420-7966

Request for Abatement Date Extensions

In the event it becomes necessary to request an extension of the abatement date for any violation listed on the enclosed citation(s), certain information must be submitted, in writing, before this request can be considered. Please send your request to:

North Carolina Department of Labor
Occupational Safety and Health Division
1101 Mail Service Center
Raleigh, NC 27699

The Division of Occupational Safety and Health has the authority to approve or deny any request for abatement extension. However, this approval or denial will not be exercised until the expiration of fifteen (15) working days from the date your employees were notified of the request for an extension. This notification will be accomplished by posting the enclosed "Request for Abatement Date Extension" or by delivering notice to an authorized employee representative. During this fifteen (15) working days period, you are responsible for assuring the safety and health of your employees in the area of the cited hazard.

Attached to this letter is the information you need to include with your request for an extension of any abatement date. Please answer each item completely and forward to this office as soon as it becomes apparent that an extension will be necessary.

If you have any questions regarding this procedure, please call our office at:919-779-8570.

Request for Abatement Date Extension

The employer must indicate in the boxes below which item(s) of the Citation and Notification of Penalty it wishes to extend. First, the employer must identify the citation and item number(s). (For example, "Citation 1, Item 2" or "1-2.") Then the employer must indicate all actions taken, their dates, to achieve compliance during the original abatement period. The employer must also include: the specific additional time necessary, the reasons for the additional time, all available interim steps being taken to safeguard employees, a certification that a copy of this PMA has been posted and served upon the authorized employee representative, and the date the posting and service was completed.

Inspection Number
318107984

CSHO ID
F1857

Issuance Date
7/17/2017

Employer's name and mailing address
UNC AT CHAPEL HILL
1120 Estes Drive Extension, Campus Box 1
Chapel Hill, NC 27599

Citation and Item no.	Abatement Date on Citation	Anticipated Completion Date	Reason for requesting an additional abatement period	Interim steps taken to safeguard employees, including dates, during any extended abatement period

The employer completing this form must sign the form.

Name of Employer Representative, Title

Phone

Signature

Date

Certificate of Posting

I hereby certify that the Request for Abatement Date Extension form and this Certificate of Posting were posted as required on _____, 20_____, and a copy furnished to the authorized employee representative, if appropriate, and will remain posted for a period of 15 working days.

If any affected employees, or their representative, feel that this extension should not be granted, they should file an objection to the request in writing to:

North Carolina Department of Labor
Occupational Safety and Health Division
1101 Mail Service Center
Raleigh, NC 27699

The Division of Occupational Safety and Health has the authority to approve or deny any request for abatement extension. However, this approval or denial will not be exercised until the expiration of fifteen (15) working days from the date your employees were notified of the request for an extension. This notification will be accomplished by posting the enclosed "Request for Abatement Date Extension" or by delivering notice to an authorized employee representative. During this fifteen (15) working days period, you are responsible for assuring the safety and health of your employees in the area of the cited hazard.

Failure to file such objection within fifteen (15) working days of the date of posting of the petition shall constitute a waiver of any further right to object to the petition.

Company Name _____

Authorized Signature _____

Date _____

A copy of this Certificate of Posting must be returned with your request for abatement extension.

North Carolina Department of Labor
Occupational Safety and Health Division

Inspection Number: 318107984
Inspection Date(s): 5/25/2017
Issuance Date: 7/17/2017

Citation and Notification of Penalty

Company Name: UNC AT CHAPEL HILL
Inspection Site: 501 W Cameron Avenue, Chapel Hill, NC 27599

Citation 01 Item 001 Type of Violation: **Serious**

29 CFR 1910.303(b)(1): Electrical equipment was not free from recognized hazards that were likely to cause death or serious physical harm to employees:

a) Facility, Gland Seal Leak Off Pumps 1 & 2– On 2/20/17, an employee used a selector switch to turn off the pump and the selector switch was inside an electrical control box labeled ‘SES Duplex Control, 200/230/460/575’. Inside the electrical box, there were live electrical wires and connectors.

Date By Which Violation Must Be Abated:
Proposed Penalty:

Corrected During Inspection



North Carolina Department of Labor
Occupational Safety and Health Division

Inspection Number: 318107984
Inspection Date(s): 5/25/2017
Issuance Date: 7/17/2017

Citation and Notification of Penalty

Company Name: UNC AT CHAPEL HILL
Inspection Site: 501 W Cameron Avenue, Chapel Hill, NC 27599

Citation 01 Item 002 Type of Violation: **Serious**

29 CFR 1910.147(d)(3): All energy isolating devices that were needed to control the energy to the machine or equipment were not physically located and operated in such a manner as to isolate the machine or equipment from the energy source(s):

a) Facility, Gland Seal Leak Off Pumps 1 & 2— where the energy isolation device used by an employee was inadequate because it was not physically located and operated in a manner as to isolate the pump from the energy source. On 2/20/17 an employee tagged the selector switch of the pump and turned off the pump instead of tagging the circuit breaker or disconnect switch. The selector switch was located inside an electrical control box labeled ‘SES Duplex Control, 200/230/460/575’. A selector switch is not an acceptable energy isolation device because they can be subject to component failure, program errors, magnetic field interferences, electrical surges, improper use or inadvertent use, exposing the employees to the unexpected energization of the equipment.

Abatement: An energy isolation device is a mechanical device that physically prevents the transmission or release of energy, including but not limited to the following: a manually operated electrical circuit breaker or a disconnect switch.

Date By Which Violation Must Be Abated:
Proposed Penalty:

Corrected During Inspection



North Carolina Department of Labor
Occupational Safety and Health Division

Inspection Number: 318107984
Inspection Date(s): 5/25/2017
Issuance Date: 7/17/2017

Citation and Notification of Penalty

Company Name: UNC AT CHAPEL HILL
Inspection Site: 501 W Cameron Avenue, Chapel Hill, NC 27599

Citation 02 Item 001 Type of Violation: **NonSerious**

29 CFR §1904.32(b)(6): The Summary of Work-Related Injuries and Illnesses (OSHA Form 300A or equivalent) for the previous year was not posted between February 1 and April 30.

a) Facility, UNC Cogeneration Facility (COGEN) - where a hardcopy of the OSHA 300A was not posted at each of the establishments, and in a conspicuous place where notices to employees are normally posted in the workplace.

Date By Which Violation Must Be Abated:
Proposed Penalty:

Immediately Upon Receipt



North Carolina Department of Labor
Occupational Safety and Health Division

Inspection Number: 318107984
Inspection Date(s): 5/25/2017
Issuance Date: 7/17/2017

Citation and Notification of Penalty

Company Name: UNC AT CHAPEL HILL
Inspection Site: 501 W Cameron Avenue, Chapel Hill, NC 27599

Citation 02 Item 002 Type of Violation: **NonSerious**

29 CFR 1910.147(c)(6)(ii): The employer did not certify that periodic inspections of the energy control procedures had been performed:

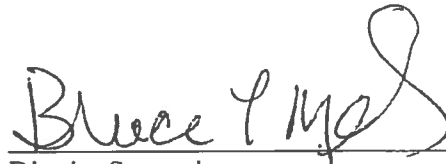
a) Facility, UNC Cogeneration Facility (COGEN) – where the periodic inspections of the Lock out/Tag out (LOTO) program were not certified.

The certification shall identify the machine or equipment on which the energy control procedures are being utilized, the date of the inspection, the employees included in the inspection, and the person performing the inspection.

Date By Which Violation Must Be Abated:
Proposed Penalty:

Immediately Upon Receipt

50.00



District Supervisor
for the Director

CONFIRMATION OF ABATEMENT

RETURN THIS FORM TO:

NORTH CAROLINA DEPARTMENT OF LABOR

Occupational Safety and Health Division
1101 Mail Service Center
Raleigh, NC 27699
919-779-8570

FAILURE TO RESPOND BY THE DUE DATE MAY RESULT IN A FOLLOW-UP INSPECTION

DATE FORM DUE: **Immediately Upon Receipt**

FILE NO: 318107984

COMPANY: UNC AT CHAPEL HILL

LOCATION: 501 W Cameron Avenue, Chapel Hill, NC 27599

THE ALLEGED VIOLATIONS LISTED BELOW THAT WERE OBSERVED ON THE 5/25/2017 OSHA INSPECTION BY THE NORTH CAROLINA DEPARTMENT OF LABOR WERE CORRECTED ON OR BEFORE

THE FOLLOWING ABATEMENT DATE: **Immediately Upon Receipt**

ITEM NUMBER	STANDARD OR REGULATION ALLEGEDLY VIOLATED	ACTION TAKEN TO CORRECT VIOLATION (attach additional pages if needed)
TWO 1	1904.32(b)(6)	_____
2	1910.147(c)(6)(ii)	_____

*** To be acceptable the Action Taken To Correct Violation must be described in detail. Photos or other evidence of abatement may be attached.

THE UNDERSIGNED ATTEST THAT THE ABOVE ITEMS WERE CORRECTED ON OR BEFORE THE PRESCRIBED ABATEMENT DATE:

SIGNATURE: _____

TITLE: _____

DATE: _____